

Docket No.: 022290.0122PTUS

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: You-Ping Chan et al.

Application No.: 10/516,733

Confirmation No.: 8573

Filed: October 3, 2005

Art Unit: 1654

For: POLYAMINOACIDS FUNCTIONALIZED BY

ALPHA TOCOPHEROL AND USES THEREOF,

PARTICULAR FOR THERAPEUTIC

APPLICATIONS

Examiner: D. Lukton

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement, pursuant to 37 CFR 1.114(c), accompanies the Request for Continued Examination (37 CFR 1.114) submitted concurrently.

In accordance with 37 CFR § 1.98(a)(2)(ii), Applicants have not submitted copies of U.S. patents and U.S. patent applications. Similarly, copies of Office Actions issued in commonly-owned U.S. patent applications directed to similar subject matter, also stored and available to the Examiner in electronic form in the Office's IFW system, have not been submitted. 69 FR 56481, 56510, Sept. 21, 2004. If the Examiner requires a copy any of the listed documents, a copy will be furnished upon request.

Applicants believe books referenced in the specification for general, conventional scientific techniques, are not relevant to the patentability of the claimed subject matter of the above-referenced application and have not listed them on form SB/08 or submitted copies, specifically, B.J. BERUE and R. PECARAN, <u>Dynamic Light Scattering</u>, Wiley (1976); BODANSZKY, <u>Principles of Peptide Synthesis</u>,

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Springer Verlag, Berlin, (1984); Colloidal Drug Delivery Systems, Ed. J. Kreuter, Marcel Dekker, Inc., New York, NY, (1994); FOX, X. AND DOSE, K., Molecular Evolution and the Origin of Life, Marcel Dekker, Inc. (1977); GUPTA, P.K. et al., Injectable Drug Development Interpharm Press, Denver, Colorado (1999); Handbook of Pharmaceutical Controlled Release Technology, Ed. D. L. Wise, Marcel Dekker, Inc., New York, NY (2000); KRICHELDORF, H.R., Alpha-Amino Acid N-Carboxy Anhydrides and Related Heterocycles, Springer Verlag, Berlin (1987); Microspheres, Microcapsules and Liposomes; Vol. 1 Preparation and Chemical Applications, Ed. R. Arshady, Citrus Books, London, U.K. (1999); R.F. PROBSTEIN, Physicochemical Hydrodynamics, Wiley (1994); and Sustained-Release Injectable Products, Ed. J. Senior and M. Radomsky, Interpharm Press, Denver, Colorado (2000). Should the Examiner require a copy of these books, one will be supplied upon request.

In accordance with 37 C.F.R. §1.98(a)(3)(i), a concise explanation of the non-English references are incorporated within the specification.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

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Applicants submit concurrently a Request for Continued Examination pursuant to 37 C.F.R. § 1.114, an Information Disclosure Statement, and the accompanying fees. Please charge our Credit Card in the amount of \$810.00 covering the fees set forth in 37 C.F.R. § 1.17(e). The U.S. Patent and Trademark Office is authorized to charge any additional fees that may be required in conjunction with this submission to Deposit Account Number 50-2228, under Order No. 022290.0122PTUS.

Dated: November 13, 2009

Respectfully submitted,

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